



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

030104

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TNCR.178US2
First Named Inventor or Application Identifier	Wayne Chen et al.
Title	System and Methods for Classifying Anomalies of Sample Surfaces
Express Mail Label No.	EV 321705 746

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P. O. Box 1450
Arlington, VA 22313-1450

1. ☒ Fee Transmittal Form - *see page 2 of this form.*
(Submit an original, and a duplicate for fee processing)

2. Application:

- ☒ Specification: (preferred arrangement set forth below)

Descriptive title of the Invention,
Cross References to Related Applications,
Reference to Microfiche Appendix,
Background of the Invention,
Brief Summary of the Invention,
Brief Description of the Drawings, and
Detailed Description (all totaling _____ pages)
Appendix(ces) _____, & _____ (21 _____ pages)

- ☒ Claim(s) 8 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Informal Drawing(s) (35 USC 113)

[Total Sheets 7]

4. Oath or Declaration ☐ unsigned [Total Pages 3]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)

- c. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix
consisting of _____ pages of microfiche containing _____
frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) _____ pages

9. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney
(combined when there is an _____ with Patent Declaration
Assignee) above.)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS) (_____ pages)
& ☒ PTO Form 1449 (_____ page)
_____ Copies of IDS Citations/References

12. ☒ Preliminary Amendment 8 pages

13. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

14. Small Entity Status

- ☐ Small Entity Statement Enclosed _____ pages

- ☐ Statement filed in prior application; and
status still proper and desired

- ☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other:

- ☒ Formal Drawings (7 sheets)



17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:
☒ Continuation ☐ Divisional of prior application No. 10/613,634 filed on July 3, 2003, which is a divisional of prior application No. 09/566,352 filed on May 4, 2000 entitled "System and Methods For Classifying Anomalies of Sample Surfaces"

PRIOR APPLICATION INFORMATION: Examiner Michael Patrick Stafira Group Art Unit 2877**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☒ Correspondence address below**36257**Name
Attorneys for
Applicant

James S. Hsue

Reg. No. 29,545

Parsons Hsue & de Runtz LLP

Address

655 Montgomery Street, Suite 1800

City

San Francisco

State

CA

Zip Code

94111

Country:

United States

Telephone

(415) 318-1160

Fax

(415) 693-0194

16834 U.S. PTO
10/791026

030104

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
26	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	6	x	\$18	=	\$ 108.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$86	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$290.00	=	
BASIC FEE (37 CFR 1.16(a))								= \$ 770.00
Total of above Calculations								= \$878.00
Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).								=
TOTAL								= \$878.00

20. FEES:

☒ A check is enclosed for \$878.00

The Commissioner is hereby authorized to credit overpayments or charge the following fees or any additional fees required to Deposit Account No. 502664:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
 b. ☐ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
 c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

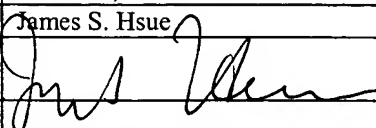
21. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		36257		<input checked="" type="checkbox"/> New correspondence address below	
NAME	James S. Shue, Parsons Hsue & de Runtz LLP.				
ADDRESS	655 Montgomery Street, Suite 1800				
CITY	San Francisco	STATE	California	ZIP CODE	94111
COUNTRY	U.S.A.	TELEPHONE	(415) 318-1160	FAX	(415) 693-0194

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Parsons Hsue & de Runtz LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 Tel. (415) 318-1160 Fax. (415) 693-0194	
Date:	March 1, 2004
Name	James S. Hsue Reg. No. 29,545
Signature	
Express Mail Label No.	EV 321 705 746 US